

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Burton Simon  
Brian Van Duzee

TITLE: *Multi-Person*  
Parimutuel Betting Game Based On ~~Live~~ Sporting Events

ATTORNEY DOCKET NO.: 8054.01

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. 1.9 (f) AND 1.27 (b) ) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above entitled invention described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9 (d) or a nonprofit organization under 37 C.F.R. 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ [ X] no such person, concern, or organization  
☐ [ ] persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

FULL NAME [NONE]


ADDRESS \_\_\_\_\_

☐ [ ] INDIVIDUAL      ☐ [ ] SMALL BUSINESS CONCERN      ☐ [ ] NONPROFIT ORGANIZATION


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**Sole or First Inventor**

<b>Name</b>	Burton Simon	<b>Citizenship</b>	United States
<b>Residence Address</b>	P.O. Box 235 Silverthorne, CO 80498	<b>Mailing Address</b>	P.O. Box 235 Silverthorne, CO 80498
<b>Signature</b>		<b>Date signed</b>	1/4/01

**Additional Joint Inventor**

<b>Name</b>	Brian Van Duzee	<b>Citizenship</b>	United States
<b>Residence Address</b>	500 Hartford Street Boulder, CO 80303	<b>Mailing Address</b>	500 Hartford Street Boulder, CO 80303
<b>Signature</b>		<b>Date signed</b>	01/04/00

IN THE UNITED STATES OF AMERICA  
PATENT AND TRADEMARK OFFICE

APPLICANTS: Burton Simon  
Brian Van Duzee

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COMBINED DECLARATION AND POWER OF ATTORNEY FOR  
UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the above entitled invention, the specification of which

☒ is attached hereto; or

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56 (a).

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, and foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date	Priority Not Claimed	Certified Copy Attached? (Yes/No)

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Provisional Patent Application Numbers(s)	Filing date

036423450

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I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Nos.	Parent Filing Date	Parent Patent Number (if applicable)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.


Shawn D. Sentilles, Registration No. 38,299

**DIRECT ALL CORRESPONDENCE TO:**


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**DECLARATION:** I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Sole or First Inventor**

<b>Name</b>	Burton Simon	<b>Citizenship</b>	United States
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<b>Signature</b>		<b>Date signed</b>	1/4/01

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<b>Name</b>	Brian Van Duzee	<b>Citizenship</b>	United States
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<b>Signature</b>		<b>Date signed</b>	1/4/01

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